

Government of Mizoram



SOCIETY FOR CLIMATE RESILIENT AGRICULTURE IN MIZORAM

(SCRAM)

No.G.28012/11/2022-SCRAM-FOCUS (PO)

Dated: 9th December, 2022

Request for Expression of Interests (REOI)

Ref. No: MZ/PMU/PP 2022-23/C/3

Society for Climate Resilient Agriculture in Mizoram (SCRAM) intends to engage Consultancy Firm for Supervision and Quality Control of link road rehabilitation.

The eligibility criteria and Terms of Reference of the REOI can be obtained through the website:

https://focus.mizoram.gov.in

The willing and interested Consultants are requested to submit Expression of Interest (EOI) using the prescribe format in Form EIO I, II & III by **23rd December**, **2022: 2:00 PM** through a hard copy in sealed envelope to the address indicated in instructions to consultants. Only shortlisted Consultants will be requested for Request for Proposal (RFP).

Sd/- R. LALNUNZIRA Chief Executive Officer, SCRAM & State Project Director, FOCUS Aizawl, Mizoram



Society for Climate Resilient Agriculture in Mizoram (SCRAM)

Expression of Interest

for

Engagement of Consultancy Firm for Supervision and Quality Control on rehabilitation of link roads construction

Ref No: *MZ/PMU/PP 2022-23/C/3*

Issue Date: 9th December, 2022 (tentative)

Instructions to Consultants¹ Reference Number: *MZ/PMU/PP 2022-23/C/3*

[9th December, 2022]

Engagement of Consultancy Firm for Supervision and Quality Control on rehabilitation of link roads construction

1. The Government of Mizoram has received has received financing from the International Fund for Agricultural Development ("the Fund" or "IFAD") towards the cost of Fostering Climate Resilient Upland Farming System in the Northeast (FOCUS), Mizoram ("the client" or "procuring entity"), and intends to apply part of the proceeds for the recruitment of consulting services, for which this REOI is issued.

The use of any IFAD financing shall be subject to IFAD's approval, pursuant to the terms and conditions of the financing agreement, as well as IFAD's rules, policies and procedures. IFAD and its officials, agents and employees shall be held harmless from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any party in connection with Fostering Climate Resilient Upland Farming System in the Northeast (FOCUS), Mizoram.

- 2. The client now invites expressions of interest (EOIs) from legally constituted consulting firms (not individual consultants) ("consultants") to provide "Supervision and Quality Control of the works contract issued by the project which includes rehabilitation of agriculture link road using GSB & concrete across project districts". The project (FOCUS-Mizoram) covers six (6) districts such as, Champhai, Kolasib, Serchhip, Mamit, Saitual and Khawzawl. More details on these consulting services are provided in the preliminary terms of reference (PTOR) attached as Annex 1. The consultant is not allowed to sub-contract any or part of the activities provided for consultancy work.
- 3. Before preparing its EOIs, the consultant is advised to review the preliminary terms of reference attached as **Annex 1**, which describe the assignment and **Annex 2** that details the evaluation of the technical qualifications.
- 4. The consultant shall not have any actual, potential or reasonably perceived conflict of interest. A consultant with an actual, potential or reasonably perceived conflict of interest shall be disqualified unless otherwise explicitly approved by the Fund. A consultant including their respective personnel and affiliates are considered to have a conflict of interest if they a) have a relationship that provides them with undue or undisclosed information about or influence over the selection process and the execution of the contract, b) participate in more than one EOI under this procurement action, c) have a business or family relationship with a member of the client's board of directors or its personnel, the Fund or its personnel, or any other individual that was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of this expression of interest, (ii) the selection process for this procurement, or (iii) execution of the contract. The consultant has an ongoing obligation to disclose any situation of actual, potential or reasonably perceived conflict of interest during preparation of the EOI, the

¹ This document refers to legally constituted consulting firms as "consultant".

selection process or the contract execution. Failure to properly disclose any of said situations may lead to appropriate actions, including the disqualification of the consultant, the termination of the contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations².

- 5. All consultants are required to comply with the Revised IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations (hereinafter, "IFAD's Anticorruption Policy") in competing for, or in executing, the contract.
 - a. If determined that a consultant or any of its personnel or agents, or its subconsultants, sub-contractors, service providers, suppliers, sub-suppliers and/or any of their personnel or agents, has, directly or indirectly, engaged in any of the prohibited practices defined in IFAD's Anticorruption Policy or integrity violations such as sexual harassment, exploitation and abuse as established in IFAD's Policy to Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse³ in competing for, or in executing, the contract, the EOI may be rejected or the contract may be terminated by the client.
 - b. In accordance with IFAD's Anticorruption Policy, the Fund has the right to sanction firms and individuals, including by declaring them ineligible, either indefinitely or for a stated period of time, to participate in any IFAD-financed and/or IFAD-managed activity or operation. The Fund also has the right to recognize debarments issued by other international financial institutions in accordance with its Anticorruption Policy.
 - c. Consultants and any of their personnel and agents, and their sub-consultants, subcontractors, service providers, suppliers, sub-suppliers and any of their personnel and agents are required to fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to this selection process or the execution of the contract and to have such accounts, premises, records and documents audited and/or inspected by auditors and/or investigators appointed by the Fund.
 - d. Consultants have the ongoing obligation to disclose in their EOI and later in writing as may become relevant: (i) any administrative sanctions, criminal convictions or temporary suspensions of themselves or any of their key personnel or agents for fraud and corruption, and (ii) any commissions or fees paid or to be paid to agents or other parties in connection with this selection process or the execution of the contract. As a minimum, consultants must disclose the name and contact details of the agent or other party and the reason, amount and currency of the commission or fee paid or to be paid. Failure to comply with these disclosure obligations may lead to rejection of the EOI or termination of the contract.
 - e. Consultants are required to keep all records and documents, including electronic records, relating to this selection process available for a minimum of three (3) years after notification of completion of the process or, in case the consultant is awarded the contract, execution of the contract.

² The policy is accessible at www.ifad.org/anticorruption_policy.

³ The policy is accessible at <u>https://www.ifad.org/en/document-detail/asset/40738506</u>.

Fostering Climate Resilient Upland Farming System in the Northeast (FOCUS), Mizoram Consultancy Firm engagement for QC & Supervision of Works - Ref. No: MZ/PMU/PP 2022-23/C/3

- 6. The Fund requires that all beneficiaries of IFAD funding or funds administered by IFAD, including the client, any consultants, implementing partners, service providers and suppliers, observe the highest standards of integrity during the procurement and execution of such contracts, and commit to combat money laundering and terrorism financing consistent with IFAD's Anti-Money Laundering and Countering the Financing of Terrorism Policy.⁴
- 7. **Procedure**: the selection process will be conducted using **Quality Cost Based Selection** (QCBS) Method as laid out in the IFAD Procurement Handbook that can be accessed via the IFAD website at <u>www.ifad.org/project-procurement</u>. The client will evaluate the EOIs using the criteria provided in **Annex 2**. The shortlisted consultant(s) will be provided with the detailed TORs and asked to submit a detailed technical and financial offer. The evaluation will include a review and verification of qualifications and past performance, including a reference check, prior to the contract award.
- 8. Consultants may associate with other firms to enhance their qualifications but should indicate clearly whether the association is in the form of a joint venture and/or a sub-consultancy. In the case of a joint venture, all the partners in the joint venture shall be jointly and severally liable for the entire contract, if selected.
- Any request for clarification on this EOI including the PTOR should be sent via e-mail to the address po.focusmz@gmail.com no later than 16th December, 2022; 5:00 PM IST. The client will provide responses to all clarification requests within 3 days of receipt of clarification request.
- Submission Procedure: Please submit your expression of interest using the forms provided for this purpose. Your EOI should comprise one (1) original copy of each EOI form annexed to this document. EOIs shall be submitted to the address below no later than 23rd December, 2022; 2:00 PM IST.

Office of the CEO&SPD, SCRAM-FOCUS Attn: Procurement Officer Basement-I, ZIDCO Building, MINECO Khatla, Aizawl, Mizoram- 796001 Tel/Mob: 9612588684 E-mail: po.focusmz@gmail.com

Issued by,

Sd/- R. LALNUNZIRA Chief Executive Officer, SCRAM & State Project Director, FOCUS-Mizoram

⁴ The policy is accessible at <u>https://www.ifad.org/en/document-detail/asset/41942012</u>.

Form EOI-1 EOI Submission Form

[Location, date]

[Authorized official]

Re: Consulting Services for Quality Control on rehabilitated roads Ref: MZ/PMU/PP 2022-23/C/3

We, the undersigned, declare that:

- 1. We are expressing our interest in providing the consulting services for the abovementioned assignment and have no reservations to the REOI, the instructions to the consultants and any addenda thereto.
- 2. Our expression of interest is open for acceptance for a period of ninety (90) days.
- 3. Our firm, its associates, including any subcontractors or suppliers for any part of the contract, have not been declared ineligible by the Fund and have not been subject to sanctions or debarments under the laws or official regulations of the client's country or not been subject to a debarment recognized under the Agreement for Mutual Enforcement of Debarment Decisions (the "Cross-Debarment Agreement")⁵, beyond those declared in paragraph 9 of this EOI submission form.
- 4. We acknowledge and accept the IFAD Revised Policy on Preventing Fraud and Corruption in its Activities and Operations. We certify that neither our firm nor any person acting for us or on our behalf has engaged in any prohibited practices as provided in ITC Clause 6. Further, we acknowledge and understand our obligation to report to <u>anticorruption@ifad.org</u> any allegation of prohibited practice that comes to our attention during the selection process or the contract execution.
- 5. No attempt has been made or will be made by us to induce any other consultant to submit or not to submit an EOI for the purpose of restricting competition.
- 6. We acknowledge and accept the IFAD Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. We certify that neither our firm nor any person acting for us or on our behalf has engaged in any sexual harassment, sexual exploitation or abuse. Further, we acknowledge and understand our obligation to report to <u>ethicsoffice@ifad.org</u> any allegation of sexual harassment, sexual exploitation and abuse that comes to our attention during the selection process or the contract execution.

⁵ The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: http://crossdebarment.org/.

7. The following commissions, gratuities, or fees have been paid or are to be paid with respect to the selection process: [Insert complete name of each recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity.]

Address	Reason	Amount	Currency
	Address	Address Reason Image: Constraint of the second se	Address Reason Amount Image:

(If none has been paid or is to be paid, indicate "none.")

- 8. We declare that neither our consulting firm nor any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners have any actual, potential or perceived conflict of interest as defined in ITC Clause 5 regarding this selection process or the execution of the contract. *[insert if needed: "other than the following:" and provide a detailed account of the actual, potential or perceived conflict].* We understand that we have an ongoing disclosure obligation on such actual, potential or perceived conflicts of interest and shall promptly inform the client and the Fund, should any such actual, potential or perceived conflicts of interest arise at any stage of the procurement process or contract execution.
- 9. The following criminal convictions, administrative sanctions (including debarments) and/or temporary suspensions have been imposed on our consulting firm and/or any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners:

Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension)	Imposed by	Name of party convicted, sanctioned or suspended (and relationship to the consultant)	Grounds for the measure (i.e., fraud in procurement or corruption in contract execution)	Date and time (duration) of measure

If no criminal convictions, administrative sanctions or temporary suspensions have been imposed, indicate "none".

- 10. We acknowledge and understand that we shall promptly inform the client about any material change regarding the information provided in this EOI submission form.
- 11. We further understand that the failure to properly disclose any of information in connection with this EOI submission form may lead to appropriate actions, including our disqualification as consultant, the termination of the contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations.
- 12. We understand that you are not bound to accept any EOI that you may receive.

[Authorized signatory] [Name and title of signatory]

[Name and address of firm]

Form EOI-2 Organization of the Consultant

Re: Consulting Services for Supervision and Quality Control on rehabilitation of link roads construction

Ref: MZ/PMU/PP 2022-23/C/3

[Provide a brief description of the background and organization of your firm/entity and of each associated firm for this assignment. Include the organization chart of your firm/entity. The EOI must demonstrate that the consultant has the organizational capability and to carry out the assignment. The qualifications document shall further demonstrate that the consultant has the capacity to field and provide experienced replacement personnel on short notice. Key staff CVs are not required at the shortlisting stage.]

Name of the firm	
Date of establishment	
Country of registration	
Full address of the firm	
Focal point: name, position, contact information (telephone, email):	Name:
	Tel:
	Email:
Number of branches in the country	
Country(ies) of operations with number of branches in each country	
Number of full-time employees	
Number of part-time employees	
Field(s)of expertise of the firm	
Number of professional staff with experience related directly to the assignment	
Subsidiary and associated companies (<i>wherever applicable</i>):	

Fostering Climate Resilient Upland Farming System in the Northeast (FOCUS), Mizoram Consultancy Firm engagement for QC & Supervision of Works - Ref. No: MZ/PMU/PP 2022-23/C/3

(details in the following format to be
provided for all associates) –
, ,
(i) Name of the company
(ii) Nature of business
(iii) Address of the company
(iv) Website of the company
(v) Brief description of company
(maximum of 120 words)
Any other information that the
consultant would like to add:

The qualification document should address the following competencies:

(i) <u>Management Competence</u>

Management competence section will review the overall governance structure, professional staff, financial management setup, past experience of managing a consortium as Lead Consultant, quality control procedures, ability/experience of engaging consultants for the assignment, etc.

(ii) <u>Technical Competence</u>

Technical competence section to review the relevance of the background and experience of the firm, association or JV to the assignment, experience of handling Government/multilateral funded projects, number of similar size assignments undertaken where the Consultant as lead partner number of similar projects and sizes providing support role, etc.

(iii) <u>Geographical competence</u>

Geographical competence section will review the experience of working in the Northeastern region of India or other States with similar socio-economic conditions.

Maximum 10 pages

Form EOI-3 Experience of the Consultant

Re: Consulting Services for Supervision and Quality Control on rehabilitation of link roads construction

Ref: MZ/PMU/PP 2022-23/C/3

[Using the format below, provide information on each relevant assignment for which your firm, and each associate for this assignment, was legally contracted either individually as a corporate entity or as one of the major companies within an association, for carrying out consulting services similar to the ones requested under the preliminary terms of reference included in this EOI. The EOI must demonstrate that the consultant has a proven track record of successful experience in executing projects similar in substance, complexity, value, duration, and volume of services sought in this procurement.

Maximum 20 pages]

Assignment name:	Approx. value of the contract (in current INR):
Country: Location within country:	Duration of assignment (months):
Name of client:	Total No. of staff-months of the assignment:
Address, and contact details (including email address(es)):	Approx. value of the services provided by your firm under the contract (in current INR):

Start date (month/year): Completion date (month/year):	No. of professional staff-months provided by associated consultants:
Name of associated consultants, if any:	Name of proposed senior professional staff of your firm involved and functions performed (indicate most significant profiles such as project director/coordinator, team leader):
Narrative description of project:	
Description of actual services provided by your staff within the assignment:	

Name of Firm: _____

ANNEX 1

PRELIMINARY TERMS OF REFERENCE

Consulting Services for Quality Control and Supervision on rehabilitated roads

1. Client

The client is Society for Climate Resilient Agriculture in Mizoram (SCRAM), an autonomous body registered as a society under the Department of Agriculture, Govt. of Mizoram.

2. Background of the project

The Fostering Climate Resilient Upland Farming System in the Northeast (FOCUS), Mizoram was started on January, 2018 as a society under Department of Agriculture-Society for Climate Resilient Agriculture in Mizoram (SCRAM). The project is divided into three (3) components which is to be completed within 2024.

The Project components emphasis to increase the agricultural income of those targeted households in the project districts and to enhance their resilience to climate change. The FOCUS project has three components, namely,

- a) Improved Agricultural Resilience and Productivity;
- b) Value Chain and Market Access; and
- c) Project Management and Knowledge services

3. Background of the assignment

For better market access of the farmers in project districts, the project intends to rehabilitate agricultural link road using GSB and concrete (all weather road) covering 19 kms agriculture link road for rehabilitation at Serchhip District. This infrastructure investment is expected to benefit around 380 households and covering 620 hectares which should be undertaken through an open National Competitive Bidding (NCB). The contract shall be awarded in lots for which several contractors/firms shall participate in the bidding. The location of the sites is:

- a. Thinglubul Road, located in Serchhip district.
- b. Mualkawi Road, located in Baktawng, Serchhip district.
- c. Lungzawl Road, located in East Bungtlang, Serchhip district.

Therefore, the client intends to engage a qualified consultancy firm to assist in supervision and Quality Control of the works undertaken by the contractors/firms (contract awarded). The consultant is required to provide adequate qualified manpower, tools and equipment at the site during the contract period and use its own resources to execute the assignment. Quality control measures shall be undertaken as per the guidelines of FOCUS-Mizoram ("the project").

The consultant shall work hand in hand with the Junior Engineers of the project, guide them and provide necessary support in their monitoring. The consultant is strictly prohibited to be associated with or engage with or work together with the contractors/ consultants, who is undertaking the works contract. If such situation arises, the consultant may report it in writing to the client and necessary measures shall be undertaken. If not reported and the client realize so, client may cancel the engagement and award to the next highest score bidder.

The consultant or any of its affiliates, sub-consultant, partner, etc. shall not participate in the bidding of the works contract.

5. Overall objectives

The Fostering Climate Resilient Upland Farming System in the Northeast (FOCUS), Mizoram includes an overall objective to increase agricultural income of 55,000 households and to enhance their resilience to climate change. This would be achieved through the development objective of increasing the environmental sustainability and profitability of farming systems practiced by highland farmers, FOCUS-Mizoram covers 300 villages in 6 districts in the state. The districts covered under the project are Champhai, Kolasib, Serchhip, Mamit, Khawzawl and Saitual. The project is expected to be completed by 2024.

6. Objectives of the assignment

The proposed assignment is supervision and quality control of 19 kms length link road rehabilitation at Serchhip district. This project includes the construction of rigid pavement (plain cement concrete) over an existing road and it also comprise of culvert and cross drains for the passage of the stormwater and a retaining wall to support the earth pressure and avoid soil erosion.

7. Scope of work

As the Client's representative, the Consultant will supervise and administer the civil works contract and ensure that the works are constructed in accordance with the drawings, technical specifications and contract conditions. The Consultant will have all of those powers defined as being the Client's representative, with the exception of the following, which will be retained and exercised by the Client.

8. Capacity building and transfer of knowledge

The Consultant shall provide capacity building to the Engineering Cell regarding its workplan and schedule of manpower requirement.

9. Reports and schedule of deliverables

The consultant is required to prepare the following:

SI. No.	Deliverables	Timelines
1.	Conducting site visit and prepare detailed supervision & QC plan and also following the Social, Environmental, and Climate Assessment Procedures (SECAP) guidelines of the project. Design & estimates shall be made available by the Engineering Cell to the selected consultant only.	Shall be issued in the RFP to qualified consultants
2.	Submission of report as per km coverage of the contractor on Quality Control. To be coordinated with the client's engineer.	Shall be issued in the RFP to qualified consultants

10. Consultant's qualifications and experience

S.N.	Post	Name of Position	Key qualification and experience
1	KEY-01	Team Leader	Detail shall be inserted on the full ToR of the RFP
2	KEY-02	Specialist- Civil Engineering	Detail shall be inserted on the full ToR of the RFP
3	KEY-03	Specialist- Environment	Detail shall be inserted on the full ToR of the RFP
4	Non-Key	Site Engineer (Three Site Engineers for Each Lot)	Detail shall be inserted on the full ToR of the RFP

11. Location and period of execution

Location will be the PMU office and site (within project districts).

Period of execution: The period of engagement shall be for a period of 6 months from the date of contract signature, extendable as per requirement.

*This is only a preliminary term of reference (ToR) for the consultant to have a glimpse of consulting requirements.

*The full ToR shall be issued to the qualified/shortlisted firms along with the Request for Proposals (RFP)

ANNEX 2

Qualification and Evaluation Criteria

ltem	Criteria	Scores
Α.	Management Competence	
	• Organizational setup including incorporation. Firm should have been established at least two years before issue of this request for expression of interest (10 points)	30
	• Professional staff and management structure (10 points)	
	• Quality control procedures adopted by the firm (10 points)	
В.	Technical Competence	
	• Experience of having similar services for at least supervision of three similar road construction during last five years (FY 2017-2022) with not less than 5 kms stretch per assignment. (20 points)	
	• Average annual turnover of at least Rs. 50 lakhs during last five years (FY 2017-2022). (10 points)	50
	• The consultant should have at least 10 civil engineers/diploma holders as their regular employees or as contractual employees. (10 points)	
	• Experience of undertaking project under other government/multilateral funded projects. (10 points)	
С.	Geographical Competence	
	• Experience of undertaking similar project in Mizoram (10 points)	20
	• Experience of undertaking similar project in Northeast or similar socioeconomic conditions (10 points)	
	Total	100
	Minimum points required to pass	70 points

Note: Evaluation shall be conducted based on evident information submitted only.